

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Atty. Docket No.	Serial No.
	00-713-i12	09/975,376
	Applicant: Chad A. Mirkin, et al.	
Filing Date: 10/11/01		Group: 1656

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
<i>AD</i>	1.	5,288,609	02/08/94	Mroczkowski, et al.			
	2.	5,284,748	02/22/94	Engelhardt, et al.			
	3.	5,360,895	11/01/94	Hainfield, et al.			
	4.	5,384,265	01/24/95	Kidwell, et al.			
	5.	5,472,881	12/05/95	Beebe, et al.			
	6.	5,599,668	02/04/97	Stimpson, et al.			
	7.	5,637,508	06/10/97	Kidwell, et al.			
	8.	5,751,018	05/12/98	Alivisatos, et al.			
	9.	5,939,021	08/17/99	Hansen, et al.			
<i>AD</i>	10.	5,990,479	11/23/99	Weiss, et al.			

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes N
<i>AD</i>	11.	WO 93/10564	27 May 93	PCT			
	12.	WO 98/10289	12 March 98	PCT			
	13.	WO 99/23258	14 May 99	PCT			
	14.	WO 99/21934	06 May 99	PCT			
<i>AD</i>	15.	WO 99/20789	29 April 99	PCT			

## OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

<i>AD</i>	16.	O.D. Velez, et al., "In Situ Assembly of Colloidal Particles into Miniaturized Biosensors," <i>Langmuir</i> , Vol. 15, No. 11, pp. 3693-3698, May 25, 1999
-----------	-----	--

Examiner <i>Abraham H. Snel</i>	Date Considered <i>3/5/04</i>
------------------------------------	----------------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with any communication.

FORM PTO-1449  
(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

00-713-i12

Serial No.

09/975,376

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant:

Chad A. Mirkin, et al.

Filing Date:

October 11, 2001

Group:

1656

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
ad	1.	5,599,668	02/04/97	Stimpson, et al.			
ad	2.	5,990,479	11/23/99	Weiss, et al.			

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

3.	<del>Stimpson, et al., "Real time detection of DNA hybridization and melting on oligonucleotide arrays by using optical wave guides," <i>Proc. Natl. Acad. Sci.</i>, Vol. 92, pp. 6379-6383, California Institute of Technology (1995) U.S.</del>
4.	<del>Storhoff, et al., "Strategies for Organizing Nanoparticles into Aggregate Structures and Functional Materials," <i>Journal of Cluster Science</i>, Vol. 8, No. 2, pp. 179-217, Plenum Publishing Corporation (1997) U.S.</del>
5.	<del>Storhoff, et al., "One-Pot Colorimetric Differentiation of Polynucleotides with Single Base Imperfections Using Gold Nanoparticle Probes," <i>J. Am. Chem. Soc.</i>, Vol. 120, pp. 1961-1964, American Chemical Society (1998) U.S.</del>
6.	<del>Velev, et al., "In Situ Assembly of Colloidal Particles into Miniaturized Biosensors," <i>Langmuir</i>, Vol. 15, No. 11, pp. 3693-3698, American Chemical Society (1999) U.S.</del>
7.	<del>Zhu, et al., "The First Raman Spectrum of an Organic Monolayer on a High-Temperature Superconductor: Direct Spectroscopic Evidence for a Chemical Interaction between an Amine and YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7-δ</sub>," <i>J. Am. Chem. Soc.</i>, Vol. 119, pp. 235-236, American Chemical Society (1997) U.S.</del>

EXAMINER

*Alviah H. Smith*

DATE CONSIDERED

3/5/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

FORM PTO-1449  
(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

00-713-i12

Serial No.

09/975,376

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant:

Chad A. Mirkin, et al.

Filing Date:

October 11, 2001

Group:

1656

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

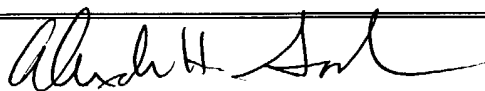
FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

8.	Yguerabide, et al., "Light-Scattering Submicroscopic Particles as Highly Fluorescent Analogs and Their Use as Tracer Labels in Clinical and Biological Applications," I. Theory, <i>Analytical Biochemistry</i> , Vol. 262, pp. 137-156 (1998) U.S.
9.	Yguerabide, et al., "Light-Scattering Submicroscopic Particles as Highly Fluorescent Analogs and Their Use as Tracer Labels in Clinical and Biological Applications," II. Experimental Characterization, <i>Analytical Biochemistry</i> , Vol. 262, pp. 157-176 (1998) U.S.

EXAMINER



DATE CONSIDERED 3/5/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<b>FORM PTO-1449</b> (Rev. 2-32)	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Atty. Docket No.</b> 00-713-i12	<b>Serial No.</b> 09/975,376
<b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)		<b>Applicant:</b> Chad A. Mirkin, et al.	
		<b>Filing Date:</b> October 11, 2001	<b>Group:</b> 1656

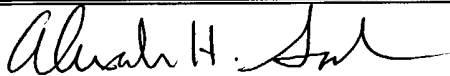
## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
as	1.	5,609,907	03/11/97	Natan			
	2.	6,025,202	02/15/00	Natan			
as	3.	6,149,868	11/21/00	Natan, et al.			

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).


EXAMINER		DATE CONSIDERED 3/5/04
----------	---	------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<b>FORM PTO-1449</b> (Rev. 2-32)	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Atty. Docket No.</b> 00-713-i12	<b>Serial No.</b> 09/975,376
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)		<b>Applicant:</b> Chad A. Mirkin, et al.	
		<b>Filing Date:</b> October 11, 2001	<b>Group:</b> 1656

## U.S. PATENT DOCUMENTS

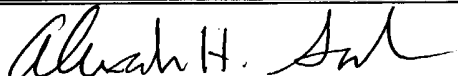
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation Yes No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

1.	Brada, et al., "Golden Blot" - Detection of Polyclonal and Monoclonal Antibodies Bound to Antigens on Nitrocellulose by Protein A-Gold Complexes, <i>Analytical Biochemistry</i> , Vol. 42, pp. 79-83 (1984) U.S.
2.	Dunn, et al., "A Novel Method to Map Transcripts: Evidence for homology between an Adenovirus mRNA and Discrete Multiple Regions of the Viral Genome, <i>Cell</i> , Vol. 12, pp. 23-36, (1997) U.S.
3.	Hacker, "High performance Nanogold - Silver in situ hybridisation, <i>Eur. J. Histochem</i> , Vol. 42, pp. 111-120 (1998) U.S.
4.	Ranki, et al., "Sandwich hybridization as a convenient method for the detection of nucleic acids in crude samples," <i>Gene</i> , Vol. 21, pp. 77-85 (1983) U.S.
5.	Romano, et al., "An antiglobulin reagent labelled with colloidal gold for use in electron microscopy," <i>Immunochemistry</i> , Vol. 11, pp. 521-522 (1974) Great Britain

EXAMINER 	DATE CONSIDERED 3/5/04
--	------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line thru citation if not in conformance and not considered. Include copy of this form with next communication.

<b>FORM PTO-1449</b> (Rev. 2-32)	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Atty. Docket No.</b> 00-713412	<b>Serial No.</b> 09/975,376
<div data-bbox="121 472 349 703" data-label="Image"> </div> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use several sheets if necessary)</p>		<div data-bbox="998 409 1291 535" data-label="Text"> <p>COPY</p> </div> <p><b>Applicant:</b> Chad A. Mirkin, et al.</p>	

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
a	1.	5,830,986	11/03/98	Merrill, et al.	528	332	10/28/96
	2.	5,900,481	05/04/99	Lough, et al.	536	55.3	11/06/96
	3.	6,203,989	03/20/01	Goldberg, et al.	435	6	03/25/99
	4.	6,251,303	06/26/01	Bawendi, et al.	252	301.4R	09/18/98
	5.	6,277,489	08/21/01	Abbott, et al.	428	403	12/04/98
	6.	6,306,610	10/23/01	Bawendi, et al.	435	7.1	09/17/99
	7.	6,361,944	03/26/02	Mirkin, et al.	435	6	06/25/99
	8.	6,365,418	04/02/02	Wagner, et al.	436	518	05/18/00
a	9.	6,417,340	07/09/02	Mirkin, et al.	536	23.1	10/20/00

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
a	10.	WO 93/25709	23 December 1993	PCT				
	11.	WO 98/04740	5 February 1998	PCT				
	12.	WO 98/17317	30 April 1998	PCT				
	13.	WO 99/60169	25 November 1999	PCT				
	14.	WO 00/33079	8 June 2002	PCT				
a	15.	WO 01/00876	4 January 2001	PCT				

<b>EXAMINER</b> <i>Alexander H. Smith</i>	<b>DATE CONSIDERED</b> 3/5/04
---	-------------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

FORM PTO-1449  
(Rev. 2-32)U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

00-713-i12

Serial No.

09/975,376

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant:

Chad A. Mirkin, et al.

Filing Date:

October 11, 2001

Group:

1637

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation Yes No
16.	WO 01/51665	19 July 2001	PCT			
17.	WO 01/73123	4 October 2001	PCT			
18.	WO 01/86301	15 November 2001	PCT			
19.	WO 02/04681	17 January 2002	PCT			
20.	WO 02/18643	7 March 2002	PCT			
21.	WO 02/36169	10 May 2002	PCT			
22.	WO 02/46483	13 June 2002	PCT			
23.	WO 02/46472	13 June 2002	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

24.	Letsinger, R., et al., "Chemistry of Oligonucleotide-Gold Nanoparticle Conjugates," <i>Phosphorus, Sulfur and Silicon</i> , Volume 144, p. 359-362 (1999)
25.	Letsinger, R., et al., "Use of a Steroid Cyclic Disulfide Anchor in Constructing Gold Nanoparticle-Oligonucleotide Conjugates," <i>Bioconjugate Chem.</i> , p. 289-291 (2000)

EXAMINER

DATE CONSIDERED

3/5/02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 00-713-112	Serial No. 09/975,376
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant: Chad A. Mirkin, et al.	
(Use several sheets if necessary)		Filing Date: October 11, 2001	Group: 1637



## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

26.	Li Z., et al., "Multiple thiol-anchor capped DNA-gold nanoparticle conjugates," <i>Nucleic Acids Research</i> , Volume 30, p. 1558-1562 (2002)
27.	Nuzzo R., et al., "Spontaneously Organized Molecular Assemblies. 3. Preparation and Properties of Solution Adsorbed Monolayers of Organic Disulfides on Gold Surfaces," <i>J. Am Chem. Soc.</i> , Volume 109, p. 2358-2368 (1987)
28.	Otsuka, H., et al., "Quantitative and Reversible Lectin-Induced Association of Gold Nanoparticles Modified with D-Lactosyl-D-mercaptopoly(ethylene glycol)," <i>J. Am Chem. Soc.</i> , Volume 123, p. 8226-8230 (2001)
29.	Wuelfing, P., et al., "Nanometer Gold Clusters Protected by Surface-Bound Monolayers of Thiolated Poly(ethylene glycol) Polymer Electrolyte," <i>J. Am Chem. Soc.</i> , Volume 120, p. 12696-12697 (1998)

EXAMINER	<i>Alison H. Smith</i>	DATE CONSIDERED	3/5/04
----------	------------------------	-----------------	--------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.



FORM PTO-1449  
(Rev. 2-32)U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

00-713-i12

Serial No.

09/975,376

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)



COPY

Applicant:

Chad A. Mirkin, et al.

Filing Date:

October 11, 2001

Group:

1637

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AD	1.	4,996,143	02/26/91	Heller, et al.	435	6	04/13/90
	2.	5,508,164	04/16/96	Kausch, et al.	435	6	10/29/93
	3.	5,922,537	07/13/99	Ewart, et al.	435	6	11/8/96
	4.	5,972,615	10/26/99	An, et al.	435	6	01/21/98
	5.	6,264,825	07/24/01	Blackburn, et al.	205	777.5	06/23/99
AD	6.	6,214,560	04/10/01	Yguerabide, et al.	435	7.1	04/18/97

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No
AD	7.	WO 94/29484	12/22/94	PCT				
AD	8.	WO 00/25136	05/04/00	PCT				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

9.	Mohanty J., et al. "Pulsed laser excitation of phosphate stabilized silver nanoparticles," <i>Proc. Indian Acad. Sci.</i> , Vol. 112, No. 1, p. 63-72.
10.	Nicewarner- Peña S., et al. "Hybridization and Enzymatic Extension of Au Nanoparticle-Bound Oligonucleotides," <i>J. Am. Chem. Soc.</i> , Vol. 124, p. 7314-7323 (2002)
11.	Whitesides G.M., et al. "Soft Lithography in Biology and Biochemistry," <i>Annu. Rev. Biomed. Eng.</i> , p. 335-373 (2001)

EXAMINER

Alex H. Sol

DATE CONSIDERED

3/5/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Atty. Docket No.	Serial No.
	00-713-i12	09/975,376
	Applicant: Chad A. Mirkin, et al.	
Filing Date: 10/11/01		Group: 1656

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
ad	1.	4,193,983	3/18/80	Ullman et al.			
	2.	4,256,834	3/17/81	Zuk et al.			
	3.	4,261,968	4/14/81	Ullman et al.			
	4.	4,313,734	2/2/82	Leuving			
	5.	4,318,707	3/9/82	Litman et al.			
	6.	4,650,770	3/17/87	Liu et al.			
	7.	4,713,348	12/15/87	Ullman			
	8.	4,853,335	8/1/89	Olsen et al.			
	9.	4,868,104	9/19/89	Kura et al.			
	10.	5,225,064	7/6/93	Henkens et al.			
	11.	5,294,369	3/15/94	Shigekawa et al.			
	12.	5,384,073	1/24/95	Shigekawa et al.			
	13.	5,384,265	1/24/95	Kidwell et al.			
	14.	5,460,831	10/24/95	Kossovsky et al.			
	15.	5,472,881	12/5/95	Beebe et al.			
	16.	5,514,602	05/07/96	Brooks, Jr. et al.			
	17.	5,521,289	5/28/96	Hainfeld et al.			
	18.	5,543,158	8/6/96	Gref et al.			
	19.	5,571,726	11/05/96	Brooks, Jr. et al.			
	20.	5,665,582	9/9/97	Kaushch et al.			
ad	21.	5,681,943	10/28/97	Letsinger et al.			

## OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

--	--	--

Examiner	<i>Alvin H. Smith</i>	Date Considered	3/5/04
----------	-----------------------	-----------------	--------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with any communication.

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Atty. Docket No.  00-713-i12	Serial No.  09/975,376
	Applicant: Chad A. Mirkin, et al.	
	Filing Date: 10/11/01	Group: 1656

## U.S. PATENT DOCUMENTS

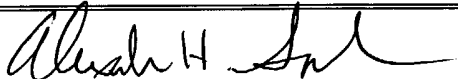
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes N
ad	22.	WO 89/06801	7/27/89	PCT			
	23.	WO 97/40181	10/30/97	PCT			
	24.	WO 98/04740	2/5/98	PCT			
	25.	WO 99/23258	10/30/98	PCT			
	26.	0 630 974 A2	06/21/94	EPO			
ad	27.	0 667 398 A2	8/16/95	EPO			

## OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

28.	Alivisatos et al., "Organization of 'nanocrystal molecules' using DNA," <i>Nature</i> , Vol. 382, pp. 609-611 (1996)
29.	Bain, et al., "Modeling Organic Surfaces with Self-Assembled Monolayers," <i>Angew. Chem. Int. Ed. Engl.</i> , Vol. 28, pp. 506-512 (1989)
30.	Bradley, "The Chemistry of Transition Metal Colloids," <i>Clusters and Colloids: From Theory to Applications</i> G. Schmid, Editor, BCH, Weinheim, New York, pp. 459-542 (1994)
31.	Brust et al., "Novel Gold-Dithiol Nano-Networks with Non-Metallic Electronic Properties," <i>Adv. Mater.</i> , Vol 7, pp. 795-797 (1995)
32.	Chen et al., "A Specific Quadrilateral Synthesized from DNA Branched Junctions," <i>J. Am. Chem. Soc.</i> , Vol. 111, pp. 6402-6407 (1989)
33.	Chen & Seeman, "Synthesis from DNA of a molecule with the connectivity of a cube," <i>Nature</i> , Vol. 350, pp. 631-633 (1991)
34.	Chen et al., "Crystal Structure of a Four-Stranded Intercalated DNA: d(C <sub>4</sub> ) <sup>††</sup> <i>Biochem.</i> , Vol. 33, pp. 13540-13546 (1994)
35.	Dagani, "Supramolecular Assemblies DNA to organize gold nanoparticles," <i>Chemical &amp; Engineering News</i> p. 6-7, August 19, 1996

Examiner 	Date Considered 3/5/04
---	---------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with any communication.

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Atty. Docket No.	Serial No.
	00-713-i12	09/975,376
	Applicant: Chad A. Mirkin, et al.	
	Filing Date: 10/11/01	Group: 1656

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date

## OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

36.	Dubois & Nuzzo, "Synthesis, Structure, and Properties of Model Organic Surfaces," <i>Annu. Rev. Phys. Chem.</i> , Vol. 43, pp. 437-464 (1992)
37.	Elghanian et al., "Selective Colorimetric Detection of Polynucleotides Based on the Distance-Dependent Optical Properties of Gold Nanoparticles," <i>Science</i> , Vol. 277, pp. 1078-1081 (1997)
38.	Grabar et al., "Preparation and Characterization of Au Colloid Monolayers," <i>Anal. Chem.</i> Vol. 67, pp. 735-74 (1995)
39.	Hacia et al., "Detection of heterozygous mutations in BRCA1 using high density oligonucleotide arrays and two-colour fluorescence analysis," <i>Nature Genet.</i> , Vol. 14, pp. 441-447 (1996)
40.	Jacoby, "Nanoparticles change color on binding to nucleotide target," <i>Chemical &amp; Engineering News</i> , p. 10, August 25, 1997
41.	Letsinger et al., "Use of Hydrophobic Substituents in Controlling Self-Assembly of Oligonucleotides," <i>J. Am. Chem. Soc.</i> , Vol. 115, pp. 7535-7536 (1993)
42.	Letsinger et al., "Control of Excimer Emission and Photochemistry of Stilbene Units by Oligonucleotide Hybridization," <i>J. Am. Chem. Soc.</i> , Vol. 116, pp. 811-812 (1994)
43.	Marsh et al., "A new DNA nanostructure, the G-wire, imaged by scanning probe microscopy," <i>Nucleic Acids Res.</i> , Vol. 23, pp. 696-700 (1995)
44.	Mirkin, "H-DNA and Related Structures," <i>Annu. Review Biophys. Biomol. Struct.</i> , Vol. 23, pp. 541-576 (1994)
45.	Mirkin et al., "A DNA-based method for rationally assembling nanoparticles into macroscopic materials," <i>Nature</i> , Vol. 382, pp. 607-609 (1996)
46.	Mirkin et al., "DNA-Induced Assembly of Gold Nanoparticles: A Method for Rationally Organizing Colloidal Particles into Ordered Macroscopic Materials," <i>Abstract 249, Abstracts of Papers Part 1, 212 ACS National Meeting 0-8412-3402-7, American Chemical Society, Orlando, FL, August 25-29, 1996</i>
47.	Mucic et al., "Synthesis and characterizations of DNA with ferrocenyl groups attached to their 5'-termini: electrochemical characterization of a redox-active nucleotide monolayer," <i>Chem. Commun.</i> , pp. 555-557 (1996)
48.	Mulvaney, "Surface Plasmon Spectroscopy of Nanosized Metal Particles," <i>Langmuir</i> , Vol. 12, pp. 788-800 (1996)
49.	Rabke-Clemmer et al., "Analysis of Functionalized DNA Adsorption on Au(111) Using Electron Spectroscopy," <i>Langmuir</i> , Vol. 10, pp. 1796-1800 (1994)

Examiner	<i>Alison H. Smith</i>	Date Considered	3/5/04
----------	------------------------	-----------------	--------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with any communication.

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Atty. Docket No.	Serial No.
	00-713-i12	09/975,376
	Applicant: Chad A. Mirkin, et al.	
	Filing Date: 10/11/01	Group: 1656

## U.S. PATENT DOCUMENTS

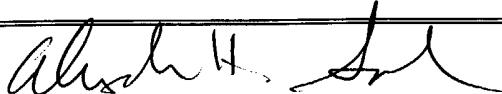
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation Yes N

## OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

50.	Roubi, "MOLECULAR MACHINES - Nanodevice with rotating arms assembled from synthetic DNA," <i>Chemical &amp; Engineering News</i> , p. 13, (Jan. 1999)
51.	Seeman et al., "Synthetic DNA knots and catenanes," <i>New J. Chem.</i> , Vol. 17, pp. 739-755 (1993)
52.	Shaw & Wang, "Knotting of a DNA Chain During Ring Closure," <i>Science</i> , Vol. 260, pp. 533-536 (1993)
53.	Shekhtman et al., "Stereostructure of replicative DNA catenanes from eukaryotic cells," <i>New J. Chem.</i> Vol. 17 pp. 757-763 (1993)
54.	Smith and Feigon, "Quadruplex structure of Oxytricha telomeric DNA oligonucleotides," <i>Nature</i> , Vol. 356, pp. 164-168 (1992)
55.	Thein et al., "The use of synthetic oligonucleotides as specific hybridization probes in the diagnosis of genetic disorders," 2 <sup>nd</sup> Ed., K.E. Davies, Ed., Oxford University Press, Oxford, New York, Tokyo, p. 21-33 (1993)
56.	Wang et al., "Assembly and Characterization of Five-Arm and Six-Arm DNA Branched Junctions," <i>Biochem.</i> , Vol. 30, pp. 5667-5674 (1991)
57.	Wang et al., "A DNA Aptamer Which Binds to and Inhibits Thrombin Exhibits a New Structural Motif for DNA," <i>Biochem.</i> , Vol. 32, pp. 1899-1904 (1993)
58.	Weisbecker et al., "Molecular Self-Assembly of Aliphatic Thiols on Gold Colloids," <i>Langmuir</i> , Vol. 12, pp. 3763-3772 (1996)
59.	Wells, "Unusual DNA Structures," <i>J. Biol. Chem.</i> , Vol. 263, pp. 1095-1098 (1988)
60.	Zhang et al., "Informational Liposomes: Complexes Derived from Cholesteryl-conjugated Oligonucleotides and Liposomes," <i>Tetrahedron Lett.</i> , Vol. 37, pp. 6243-6246 (1996)

Examiner 	Date Considered 3/5/04
--	------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with any communication.

